

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy BATEMAN et al.

Serial No.

Art Unit:

Filed: concurrently herewith

Examiner:

For: MASK APPARATUS

Atty Docket: 0119/10036

**INFORMATION DISCLOSURE STATEMENT**  
**FILED PURSUANT TO 37 CFR 1.97**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. Pursuant to the PTO Waiver Notice dated July 11, 2003, U.S. patents and published applications listed on the PTO-1449 need not be attached to application filed after June 30, 2003. Further, inasmuch as these documents were cited by the International Searching Authority during the PCT phase and all references should have been provided to the national offices, the foreign art listed are not attached herewith. However, if the examiner requires copies any such documents, the examiner is respectfully requested to contact the undersigned.

These documents are being submitted (check only one of the next four boxes):

☒ [XX] within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))


- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)
- ☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited European Patent Office in a counterpart application. (37 CFR §1.97(e)(1))
- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



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Date: July 15, 2004

FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0119/0036Serial No.  
**10/501373**Applicant  
Timothy BATEMAN et al.

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	5,265,595	11/30/1993	Rudolph			
	AB	3,357,426	12/12/1967	Cohen			
	AC	2,931,356	04/05/1960	Herman			
	AD	5,752,510	05/19/1998	Goldstein			
	AE	2002-185134	12/12/2002	Bishop			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	99/25410	05/27/1999	WO			
	AM	02/11804	02/14/2002	Mallinckrodt			
	AN						
	AO						
	AP						

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.